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4 **IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA – FRESNO DIVISION**

5 FRIEDA MAE ROGERS f/k/a FRIEDA  
6 ROGERS ROEN; and PREMIER TRUST,  
7 INC., a Nevada corporation, as Trustee of the  
FRIEDA M. ROEN RESULTING TRUST  
u/a/d July 19, 1934;

8 Plaintiffs,

9 vs.

10 WILMINGTON TRUST COMPANY, a  
11 Delaware corporation; and WILMINGTON  
TRUST INVESTMENT ADVISORS, INC.,  
12 a Maryland corporation;

13 Defendants.

No.: 1:17-cv-00392-AWI-SAB

Date: December 20, 2017

Place: Robert E. Coyle Federal  
Courthouse & Telephonic  
2500 Tulare Street  
Eighth Floor, Courtroom 2  
Fresno, CA 93721

District Judge: Honorable Anthony W. Ishii

Magistrate Judge: Honorable Stanley A. Boone

14 **STIPULATED PROTECTIVE ORDER**

15 Pursuant to Federal Rule of Civil Procedure 26, Plaintiffs Frieda Mae Rogers (aka Frieda  
16 Rogers Roen) and Premier Trust, Inc. as the Trustee of the Frieda M. Roen Resulting Trust u/a/d  
17 July 19, 1934 (hereinafter collectively “Plaintiffs”) and Defendant Wilmington Trust Company  
18 (“Wilmington Trust”) (collectively referred to as the “Parties”), respectfully move this Court to enter  
19 this Stipulated Protective Order and in support thereof state as follows:  
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21 1. This Stipulated Protective Order (the “Protective Order”) governs the use, disclosure  
22 and dissemination of documents and other information produced by the Parties in this matter (the  
23 “Action”), including but not limited to deposition testimony, deposition transcripts, deposition  
24 exhibits, interrogatory answers, responses to document requests, requests to admit and other written,  
25 recorded or graphic matter, which are designated “Confidential” as provided for herein (the  
26 “Confidential Information”). The Confidential Information shall be used solely for the purpose for  
27 preparing for and conducting proceedings in the Action and for no other purpose.  
28

1           2.       Upon a Party's reasonable belief that private, commercially sensitive or non-public  
2 information is involved, a Party shall have the right to designate and label any Confidential  
3 Information as "Confidential" pursuant to the procedures set forth herein.

4           3.       Any Confidential Information designated as "Confidential," or other documents  
5 prepared from them which contain Confidential Information shall be retained by the attorneys of  
6 record in the Action and shall be disclosed only to the following individuals:

- 7
- 8           (a)     The attorneys of record in the Action and the members, associates,  
9                   paralegals and other employees of their law firms who have reason to  
10                  have access to the Confidential Information by virtue of their  
11                  involvement in the Action;
  - 12           (b)     The court, stenographic reporters, videographers, interpreters and  
13                   judicial officers and their personnel involved in the Action;
  - 14           (c)     Persons (other than the Parties to this action) retained for the purpose  
15                   of furnishing expert services or testimony or providing assistance in  
16                   the preparation of the Action, subject to that person's compliance with  
17                   the provisions of Paragraph 7 hereof;
  - 18           (d)     Any witness at a deposition or hearing if the disclosing Party or its  
19                   counsel reasonably determines in good faith that the disclosure is  
20                   necessary, subject to that witness' compliance with the provisions of  
21                   Paragraph 7 hereof; provided, however that no transcript or copy of a  
22                   transcript containing such disclosure shall be furnished to any person  
23                   other than those identified in paragraph 3.
  - 24           (e)     The person who wrote, authored or received the document or gave the  
25                   testimony designated as "Confidential," subject to that person's  
26                   compliance with the provisions of Paragraph 7 hereof; and
  - 27           (f)     Any officer, director, employee, family member, or representative of  
28                   the named Parties to this action who are required in good faith to  
                    provide assistance in the conduct of this litigation, subject to that  
                    person's compliance with the provisions of Paragraph 7 hereof;
  - (g)     Any other person agreed to in writing by the disclosing Party, subject  
                    to that person's compliance with the provisions of Paragraph 7 hereof.

          4.       Pursuant to Rule 502(d) of the Federal Rules of Evidence, if a Party (the "Producing  
Party") discloses information in connection with the pending litigation, that the Producing Party  
thereafter claims to be protected by the attorney-client privilege and/or trial preparation material  
protection ("Protected Information"), the disclosure of that Protected Information will not constitute

1 or be deemed a waiver or forfeiture in this or any other federal, state, arbitration, or any other  
2 proceeding of any claim of privilege or protection as trial preparation material that the Producing  
3 Party would otherwise be entitled to assert with respect to the Protected Information and its subject  
4 matter.

5         Within five (5) business days after receiving written notice from a Producing Party that  
6 privileged information, including copies or summaries thereof, has been inadvertently produced, the  
7 Party receiving such notice shall – unless it contests the claim of attorney-client privilege or  
8 protection as trial preparation material – return all such privileged information to the Producing  
9 Party and, if a document, all copies of that document shall be destroyed. A Party that returns  
10 privileged information after receipt of notice may retain information not claimed to be privileged  
11 that is sufficient to identify the document or other information that it returns, but may do so solely  
12 for the purpose of promptly challenging the privileged status and/or inadvertent waiver status of the  
13 document or information. This paragraph shall not prejudice the right of any Party to challenge a  
14 producing Party's claim that information is privileged on any grounds.

17         If the Receiving Party contests the claim of attorney-client privilege or protection as trial  
18 preparation material, the Receiving Party must – within 15 days of receipt of the notification by the  
19 Producing Party – move the Court for an Order finding that the material referenced in the  
20 notification does not constitute Protected Information. This Motion must be filed (with Court  
21 approval) under seal and cannot assert the fact or circumstance of the disclosure as a ground for  
22 determining that the material does not constitute Protected Information. Pending resolution of the  
23 Motion, the Receiving Party must not use the challenged information in any way or disclose it to any  
24 person other than as required by law to be served with a copy of the sealed Motion.

26         5.         If any person subject to this Protective Order uses Confidential Information at any  
27 deposition, or discloses such material on the record of any proceeding in this Action, the portion of  
28

1 the transcript or record thereof which discloses, concerns or relates to the Confidential Information,  
2 shall be marked "Confidential" as appropriate, and shall be subject to the terms of this Protective  
3 Order.

4           6.       The Confidential Information subject to this Protective Order may be referred to and  
5 used by the Receiving Party in pleadings, briefs, or memoranda filed with the Court, and the  
6 Confidential Information may be made exhibits to such pleadings, briefs, memoranda so long as the  
7 Receiving Party asks the Court to enter an order sealing a pleading, brief, or memorandum which  
8 refers to Confidential Information or any pleading, brief or memorandum to which any Confidential  
9 Information is attached as an exhibit. Nothing in this Order shall require a Producing Party to seek  
10 leave to file its own Confidential Information under seal. The Confidential Information subject to  
11 this Protective Order may also be referred to and used in depositions as specified in Paragraph 3(d).  
12

13           7.       Before those applicable persons described in paragraphs 3(c), 3(d), 3(f), or 3(g) above  
14 may be permitted access to any Confidential Information, he or she shall have first read this  
15 Protective Order and shall have executed the Acknowledgement attached as Exhibit A. Counsel for  
16 the Party disclosing Confidential Information to any such person shall be responsible for maintaining  
17 a list of all such persons and maintaining the original Acknowledgments signed by them. If a  
18 witness refuses to execute the Acknowledgement attached as Exhibit A, despite the good faith  
19 efforts of counsel, the Parties agree and the Court will permit counsel to contact the Court for  
20 judicial assistance to address the witness's cooperation to ensure the preservation of testimony for all  
21 purposes, including trial.  
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23           8.       Entering into, agreeing to and/or complying with the terms of this Protective Order  
24 shall not:  
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- 26                   (a)     be deemed to be a waiver of any applicable privilege or doctrine,  
27 including the attorney-client and accountant-client privileges and the  
28 work product doctrine;

- 1 (b) operate as an admission by any person that any particular discovery  
2 material actually or presumptively contains or reflects proprietary or  
3 commercial information or other confidential matter; or  
4 (c) prejudice in any way the right of a person to seek a determination (i)  
5 whether particular discovery material should be produced or (ii) if  
6 produced, whether such material should be subject to the terms of this  
7 Protective Order.

8 9. Within sixty (60) days of the termination date of the Action, all Confidential  
9 Information supplied by the Parties, and all copies thereof, including any supplied to the Parties'  
10 consultants or expert witnesses, shall: (1) be returned to counsel for the disclosing Party at the  
11 disclosing Party's expense, or (2) be destroyed. The "termination date" of this Action shall mean the  
12 date upon which this Action is settled and discontinued or a final order or judgment is finally  
13 affirmed on appeal or review, or, by lapse of time or otherwise, is not subject to appeal or review.  
14 Notwithstanding anything contained herein to the contrary, counsel for the Parties may retain copies  
15 of all transcripts and work product, including pleadings, briefs and memoranda, that are claimed to  
16 contain Confidential Information. Such materials shall remain subject to this Protective Order,  
17 which shall survive the termination date.

18 10. In the event a Designating Party inadvertently produces or provides information  
19 without correctly designating it as Confidential Information as provided in this Protective Order, the  
20 Designating Party may give written notice, said notice to be given promptly upon discovering the  
21 inadvertent incorrect designation, to the Receiving Party or parties that the document, thing, other  
22 discovery information, response or testimony is Confidential Information and shall be treated in  
23 accordance with the provisions of this Protective Order. The Receiving Party or parties must then  
24 treat such documents, things, information, responses, and testimony as Confidential Information,  
25 from the date such notice is received in accordance with the provisions of this Protective Order.  
26 Upon receipt of such notice, and upon receipt of properly marked documents, things, information,  
27 responses, or testimony, the Receiving Party shall make reasonable efforts to return or destroy said  
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1 unmarked or incorrectly marked documents, things, information, responses, and testimony and not  
2 retain copies thereof. With regard to any work product containing such unmarked or incorrectly  
3 marked information, the Receiving Party shall correctly mark such work product as containing  
4 Confidential Information. Upon notice to the Receiving Party of such failure to designate, the  
5 Receiving Party shall reasonably cooperate to identify and, if possible, correct any disclosure to  
6 maintain the confidentiality of the inadvertently disclosed information, without prejudice.  
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8 11. Any Party may request removal of the confidentiality designation of any designated  
9 materials by serving written notice of such request on counsel for the disclosing Party specifying  
10 with reasonable particularity the materials to which the request is made. Within 20 days after the  
11 service of such request, the disclosing Party shall respond in writing either  
12

- 13 (a) withdrawing the designation objected to;
- 14 (b) specifying that the designated material falls within the judicially  
15 recognized classifications of confidential information of  
16 (i) trade secrets or other confidential research,  
17 development or commercial information entitled to  
18 protection;  
19 (ii) non-public personal, financial or business information  
20 of the designating Party; or  
21 (iii) information protected or restricted by statute or  
22 governmental regulation; or  
23 (c) specifying any other basis for the designation.

24 If the disclosing Party does not withdraw the designation, the objecting Party may, within 20 days  
25 after service of the written response, file a motion for a determination as to the validity of the  
26 objection. The disclosing Party shall have the burden of establishing the need for "Confidential"  
27 status. In the event such a motion is filed, confidentiality of the materials in issue shall be preserved  
28 pending resolution of the issue by the trial court and for a period of 20 days thereafter should the  
trial court reject the confidentiality designation to allow the disclosing Party opportunity to seek  
appellate review of such order. If such review is sought within that period, the designated material

1 in question shall continue to be subject to the restrictions of this Protective Order until the final  
2 conclusion of any appellate decision on the matter.

3       12. In the event that any Party receiving Confidential Information is served with a  
4 subpoena, notice or request to produce such information by any person, entity or government agency  
5 who or which is not a signatory to this Protective Order, the Party receiving such subpoena, notice or  
6 request, shall notify the designating Party in writing within forty-eight (48) hours of receipt thereof  
7 (and in no event later than at least forty-eight [48] hours in advance of the subpoena's return date) to  
8 enable the designating Party to take whatever steps it deems appropriate to protect the Confidential  
9 Information. In no event shall any Party provide any Confidential Information to any person or  
10 entity not covered by this Protective Order, whether government agency or other, without prior  
11 written consent of the other Party, or, in the event of a subpoena, without complying with the  
12 requirements of this paragraph.  
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15       13. If Confidential Information is disclosed to any person other than in the manner  
16 authorized by this Protective Order, the Party responsible for such disclosure must immediately  
17 bring all pertinent facts relating to such disclosure to the attention of all interested Parties, without  
18 prejudice to any Party or other person to move for modification of or relief from its terms.

19       14. The restrictions against disclosure set forth in this Protective Order shall not apply to  
20 information when such information:  
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- 22       a. is at any time independently developed without use of or without  
23       reliance upon any of the disclosing Party's Confidential Information;  
24       or
- 25       b. is rightfully acquired from an independent source, without restrictions  
26       as to use or obligations as to confidence; or
- 27       c. was, prior to disclosure, rightfully in the possession or knowledge of  
28       the receiving Party; or
- d. is publicly available in substantially the same form in which it was  
      provided by the disclosing Party; or

- 1 e. is required by law to be made available to third Parties as discussed in  
2 paragraph 11 above; or  
3 f. was, is or becomes public knowledge in a manner not in violation of  
4 this Protective Order.

5 Respectfully submitted,

6 *Counsel for Plaintiffs*

*Counsel for Defendants*

7 /s/ T. Randolph Catanese

/s/ John M. McIntyre

8 T. Randolph Catanese

John M. McIntyre

9 CATANESE & WELLS

Admitted *pro hac vice*

A Law Corporation

REED SMITH LLP

10 Attorneys for Plaintiffs

Attorneys for Defendants

Frieda Mae Rogers and Premier Trust, Inc.

Wilmington Trust Company

11 **ORDER**

12 Pursuant to the stipulation of the parties, IT IS HEREBY ORDERED that:

- 13 1. The protective order is entered;
- 14 2. The parties are advised that pursuant to the Local Rules of the United States
- 15 District Court, Eastern District of California, any documents which are to be
- 16 filed under seal will require a written request which complies with Local Rule
- 17 141; and
- 18 3. The party making a request to file documents under seal shall be required to show
- 19 good cause for documents attached to a nondispositive motion or compelling
- 20 reasons for documents attached to a dispositive motion. Pintos v. Pacific
- 21 Creditors Ass'n, 605 F.3d 665, 677-78 (9th Cir. 2009).
- 22
- 23

24 IT IS SO ORDERED.

25 Dated: December 5, 2017



UNITED STATES MAGISTRATE JUDGE

1                                   **IN THE UNITED STATES DISTRICT COURT**  
2                                   **FOR THE EASTERN DISTRICT OF CALIFORNIA – FRESNO DIVISION**

3 FRIEDA MAE ROGERS f/k/a FRIEDA  
4 ROGERS ROEN; and PREMIER TRUST,  
5 INC., a Nevada corporation, as Trustee of the  
6 FRIEDA M. ROEN RESULTING TRUST  
7 u/a/d July 19, 1934;

8                                   Plaintiffs,

9                                   vs.

10 WILMINGTON TRUST COMPANY, a  
11 Delaware corporation; and WILMINGTON  
12 TRUST INVESTMENT ADVISORS, INC.,  
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14                                   Defendants.

No.: 1:17-cv-00392-AWI-SAB

Date: December 20, 2017

Place: Robert E. Coyle Federal  
Courthouse & Telephonic  
2500 Tulare Street  
Eighth Floor, Courtroom 2  
Fresno, CA 93721

District Judge: Honorable Anthony W. Ishii

Magistrate Judge: Honorable Stanley A. Boone

15                                   **ACKNOWLEDGEMENT OF CONFIDENTIALITY PROTECTIVE ORDER**

16                                   I, \_\_\_\_\_, have been provided with a copy of the Stipulated Protective  
17 Order executed between the Parties in the above-captioned matter. I have reviewed the Stipulated  
18 Protective Order and hereby agree to be bound by and comply fully with its terms.

19 \_\_\_\_\_  
20 Dated: \_\_\_\_\_, 2017  
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23  
24

25                                   EXHIBIT A  
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27  
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